Welcome to Michigan Political Science! We are very excited that you have chosen to join the department, and we wish you great success here. Given its size and the breadth of students’ interests and research, writing a concise and comprehensive document that can provide a roadmap for graduate students and faculty is a real challenge. Instead, this guide to our doctoral program describes the program’s general contours and rules, as well as various resources the department offers. In addition, you may wish to consult the general Rackham Graduate School requirements for the doctoral degree.

The policies described in this guide apply to all students admitted since 2018. Students admitted prior to 2018 may choose to abide by the rules as laid out in this guide, or may consult the guide that was current at the time that they were admitted.

Two important and COVID-19-related things to keep in mind. First, Rackham is relaxing many of its requirements and deadlines, and the Political Science department is, too. If you are unsure about any of these policies, please don’t rely on the institutional memory of more advanced graduate students; instead, please check with Megan Gosling or me! It is very possible you will get a more satisfactory answer from us. Second, we understand that life as a graduate student is much more difficult and uncertain these days. Know that Megan and I are here to support you and advocate on your behalf. If there is any issue that is at all urgent, feel free to text or call me on my cell: 734-645-3305.

Best of luck this year,

Rob Mickey
Associate Professor of Political Science
Director of Graduate Studies
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1. Program Overview

Graduate education differs qualitatively from undergraduate study. In the first few years, graduate work is dedicated to the acquisition of tools, abilities, and knowledge that will qualify one to teach, carry out research, and perform as a professional political scientist. Once students become candidates, they increasingly rely on creativity and self-discipline as they carve out an independent research project.

As a general rule, students take at least four years to earn a Ph.D. degree, with most finishing within seven years. The length of time required to satisfy their requirements varies, depending upon whether the entering student already has an MA degree, the number of terms the student carries a reduced program because of a GSI (graduate student instructor) or GSRA (graduate student research assistant) position, and the particular requirements of the student’s subfield and dissertation. A program requiring extensive language training, for example, will take longer to complete if language study begins as a graduate student rather than earlier.

The Components

The graduate program is divided into two phases. During the first phase, when students are actively taking a full course load, they are considered pre-candidates. Coursework, teaching, and independent research and study prepare students to participate as research scholars in particular subfields within political science. Once students demonstrate mastery of these subfields, they become candidates. During candidacy, the student plans, executes, and defends the doctoral dissertation.

While a pre-candidate, students focus on two subfields. One becomes the major subfield and the second the minor. Courses at the University of Michigan run for full semesters, about 13 or 14 weeks. Over the first two to two and a half years, students ordinarily take four or five courses in their major subfield and three or four in their minor. These are rough estimates; each subfield sets its own requirements or guidelines for preparatory coursework. Students complete the major subfield and the first minor by passing a preliminary exam in each.

In addition, all students must complete a “cognate” required by Rackham that consists of four credit hours in approved graduate-level courses in a subfield outside their Major and Minor. Usually these credits will come from the same department, but a student may combine courses from different departments. For example, to learn more about health care policy, a student might take one course in the School of Public Policy and another in the School of Public Health. Students whose cognate credits come from two different departments should send a memo to the DGS describing how they are connected. The memo and the DGS’s approval will be entered
into the student’s academic file. In some cases, the cognate requirement may be satisfied with coursework taken earlier in pursuit of a master’s degree (consult the DGS). A student enters the candidacy phase only after successful completion of the major and minor subfields and the cognate, as well as the resolution of any incompletes on their transcript. **Typically, students must become candidates by the end of their third year.**

Following the achievement of candidacy, students typically take a year to write and defend a plan for their dissertation (or “prospectus”). With this plan in hand, and with regular feedback from a dissertation advisor, students research and write the dissertation. In the summer before the final year of graduate school, students seeking an academic job prepare materials for their applications, and that fall is “on the market.” The dissertation defense ordinarily occurs during this final year.

**The Subfields**

In our department, Political Science is divided into the following subfields (listed with their coordinators for 2020-2021):

- American Government and Politics (Nick Valentino)
- Comparative Politics (Mary Gallagher)
- International Relations and World Politics (Barb Koremenos)
- Law, Courts, and Politics (Mariah Zeisberg)
- Political Theory (Mika Lavaque-Manty)
- Research Methods (Kevin Quinn)

While students must select one of the above subfields for their major subfield, they may either choose a second one from this list or design their own to serve as their minor subfield. The student-designed minor must be in a recognized area within political science; students might look at the list of [APSA’s Organized Sections](https://www.apsanet.org/sections) as a guide. There is more flexibility in designing a second minor, although it must still be an active research area within political science. Some examples of designed subfields include:

- Public Policy and Administration
- Gender and Politics
- Organizational Theory
- Political Development
- Political Economy
- Positive Political Theory
- Race, Ethnicity and Politics
- Urban Politics
This list does not preclude the possibility of a student following a program including other subfields, based on problem-oriented, interdisciplinary, or other types of interests. Proposals must include a set of courses and a justification for how the subject represents a subfield of political science. Designed minors require the support of two faculty members, and must include a description of the planned preliminary exam format. All proposals must be approved by the DGS.

The major subfields and their current preliminary exam procedures are described in more detail in chapter 5 of this guide. Students are encouraged to consult the subfield coordinator for more information.

**Double Major**
Students may choose to major in two subfields. In this case, the second major replaces the minor. Students are still expected to fulfill the cognate requirement.

**Joint Degree Students**
Some students enter the program as joint degree students. The Department of Political Science has joint degree programs with the School of Social Work and the Ford School of Public Policy. The student’s joint program replaces the first minor and the cognate. Dissertation committees must comprise two regular faculty members from each department.

**Student Initiated Degree Program (SIDP/IIDP)**
Students may design their own doctoral program, blending aspects of two degree-granting programs. Students are admitted to one program first, and, after completing at least one term of coursework, request a modification of their degree plan. Our department’s practice has been to design an SIDP program to mirror the joint degree program: students would be expected to fulfill a major in Political Science, while work for their other program would substitute for the minor and cognate. Dissertation committees must comprise two regular faculty members from each department. For more information and an application, see the Rackham website and consult the DGS.

**Dual Degree Students**
Students may also pursue two degrees simultaneously. See the Rackham website for more information (and perhaps consult a spiritual adviser, therapist, or life coach).
Department Personnel
A number of faculty and staff serve in positions related to the graduate program.

Graduate Program Staff
Graduate Program Coordinator: Megan Gosling, psgradinfo@umich.edu (5727 Haven Hall)
Academic Program Manager: Joseph Johnson, jocjohn@umich.edu (5735 Haven Hall)

The graduate program coordinator is generally the first person to contact with questions about the program, although any of us will do our best to answer your question.

Graduate Affairs Committee
Director of Graduate Studies: Rob Mickey, rmickey@umich.edu (5703 Haven Hall)
Director of Admissions: Vince Hutchings, vincenth@umich.edu
Director of Placement: Yuri Zhukov, zhukov@umich.edu

Climate Liaisons
Ragnhild Nordaas, rnordas@umich.edu
Iain Osgood, iosgood@umich.edu
Chuck Shipan, cshipan@umich.edu

Diversity Ally Committee
Ted Brader, Committee Chair, tbrader@umich.edu
Ragnhild Nordaas, rnordas@umich.edu
Mara Ostfeld, mostfeld@umich.edu
Chuck Shipan, cshipan@umich.edu
David Temin, dtemin@umich.edu

Subfield Coordinators
American: Nick Valentino, nvalenti@umich.edu
Comparative: Mary Gallagher, metg@umich.edu
IR: Barb Koremenos, koremeno@umich.edu
Law, Courts, Politics: Mariah Zeisberg, zeisberg@umich.edu
Methods: Kevin Quinn, kmq@umich.edu
Theory: Mika LaVaque-Manty, manty@umich.edu
2. Program Subfields

American Government and Politics
The study of American politics at the University of Michigan embodies a storied past and a vibrant present. From the survey research of Campbell, Converse, Miller, and Stokes and as a leading force in the behavioral revolution in political science, the department has grown to encompass many approaches to and views on the study of politics in the United States. Today, the research of our diverse faculty spans the study of national and local political institutions, the mass media, public opinion, campaigns and elections, political participation, gender, group identity and racial politics, public policy, interest groups and political parties, constitutional law, and political development. Teaching and research in the department also touch on a wide array of important issues, including health care, economic development, immigration, poverty, crime, education, discrimination and affirmative action, civil rights, the environment, taxation, globalization, terrorism, and war.

Each fall, the department offers the American politics core course, POLSCI 611. It is designed to give students a broad and deep introduction to fundamental research in both American political institutions and political behavior, and its syllabus is extensive. In the winter semester, the department offers POLSCI 629 (American Political Institutions) and POLSCI 630 (Proseminar in Political Behavior). All students taking a preliminary exam in American politics are encouraged to take these three courses, but are not required to do so. It is expected that they will complete the courses with course grades of B+ or better.

Comparative Politics
Comparative politics is the empirical study of political phenomena across polities (both national and, increasingly, subnational); it applies concepts and theories that are applicable across space and time. The work of Michigan comparativists covers the gamut of questions involving political institutions, elite behavior, and mass politics. The faculty has strong regional expertise in East Asia, South Asia, Southeast Asia, the Middle East, Africa, and much of Europe and Eurasia. They employ a broad range of methodological approaches. Our graduate students bring a wonderfully diverse assortment of experiences and interests to their study, research, and teaching, as well.

The core seminar in comparative politics is POLSCI 641. Students typically take it in either their first or second year.
International Relations and World Politics
The study of international relations and world politics at U-M cuts across many areas of study, including formal and game theoretic models of human behavior, macroeconomic policy, institutional design, and the quantitative study of conflict (pioneered by the department in the 1960s). Here, the primary focus is understanding why states interact the way they do in economic, legal, and military domains. Graduate and undergraduate courses range widely across the theories of the study of international politics, covering international organizations, open conflict, the domestic politics of foreign relations, foreign economic policies and law, and peace and security affairs. The university's commitment to interdisciplinary study supports strong creative relationships between students in the department and faculty in other departments throughout the institution as well. Relatedly, the department boasts of a unique, and large, group of scholars cutting across Comparative Politics and World Politics who focus on political conflict, violence, and peace.

The core seminar for IR is POLSCI 660, which is generally offered in the fall semester. Students who plan to major in IR often take 660 in their first year.

Law, Courts, and Politics
Law, Courts, and Politics is an expansive and methodologically diverse subfield that includes constitutional theory, philosophy of law, judicial politics, American constitutional development, comparative constitutional politics, international law, and law and society. Associated faculty conduct research that cuts across subfields and disciplines, and students have the opportunity to explore a wide range of issues pertaining to law, courts, and constitutional institutions. What are the important features of legal and constitutional institutions and how do they develop and function over time? Are constitutions working as expected, and what might be done to maintain or improve their performance? How do constitutional values and jurisprudential traditions intersect with political behavior outside of the courts? Students are encouraged to forge intellectual connections with faculty and programs outside the department, while also benefiting from the wide-ranging interests in law held by faculty members in other subfields.

Students can elect POLSCI 612 or 613 as a beginning course in Law, Courts, and Politics. Students should also plan to present their papers and dissertation research in at least one of the ongoing graduate student workshops.

Research Methods
Political methodologists develop tools in order to improve the descriptive and causal inferences made by empirical political scientists. Coursework at Michigan parallels the ways in which
research methods are applied within the department, including classes in inferential statistics, formal modelling, survey experiments, machine learning, measurement, sampling, research design, and qualitative methods.

Some students interested in contributing to the discipline’s burgeoning toolkit choose Methods as their major subfield, while many others seeking to combine a substantive research interest with a strong methods background choose Methods as their minor subfield. Typically, first-year students who plan to elect Methods as their major or minor subfield take two-course sequences in formal modelling (POLSCI 598, 681) and inferential statistics (POLSCI 599, 699). In their second year, they pursue more advanced coursework in these and other areas. Many methods students also pursue coursework in UM’s Statistics and Economics departments and the School of Information. The faculty as a whole encourages all students, regardless of major, to invest significant time and energy in developing a portfolio of methodological expertise.

**Political Theory**

Political Theory at Michigan is committed to interpretive inquiry grounded in richly empirical studies of historical phenomena and events, textual analysis, literary theory, and/or archival investigation. Our course offerings enable students to develop a strong background in the history of political thought and to school themselves in a variety of modes of inquiry (see chapter 5’s discussion of the guided constructed minor of the same title under “Student-Designed Minors”). Students work with faculty to draw on the classical texts of political theory; to engage central questions concerning democracy, citizenship, power, legitimacy, responsibility, justice, and the foundations of political thought and civic life; to explore social change, asking how it undoes old political solutions and creates new problems; and to pose questions at the intersection of social and political theory, informed by a keen interest in the narrative, rhetorical, and other literary dimensions of political speech and communication. We offer seminars on a range of topics, including democratic theory, gender and sexuality studies, reception studies, the politics of language, and social theory. We encourage students to take advantage of the methodological diversity of the theory/law faculty, as well as to pursue interdisciplinary methodological training outside the department.

Courses on the history of political thought, such as POLSCI 603, provide an excellent foundation for research and teaching in political theory. Students majoring in theory or considering a minor in the subfield are strongly encouraged to take POLSCI 702 (“Modes of Inquiry”), a collectively-taught course which presents various modes of inquiry involved in some of the textual, historical and interpretive approaches that are requisite to conceive and execute dissertation research in political theory and public law. Students are also strongly encouraged to participate regularly in the Political Theory Workshop, held approximately every two weeks, where both graduate students and faculty present work in progress.
3. The First Year

Choosing Courses
Students must register for courses before they arrive on campus in September. While selections are not set in stone---adjustments are possible through the third week of classes---students should spend some time thinking about their first semester’s choices. Students should seek advice from their first-year faculty mentor, the DGS, subfield faculty coordinators, and from the graduate students whom they met during recruitment weekend or on other campus visits.

As a part of the admissions process all students write a research statement. This description of research interests was elicited only to aid the admissions committee to learn how the applicant thought about the discipline. It is not a contract! A student’s standing in the program is completely unrelated to remaining true to this statement. It is not uncommon for students to change their research focus and area of specialization once they begin graduate coursework. Given the likelihood of changing research interests, the department highly encourages students to take courses outside of their immediate research interests.

Most subfields have core courses that lay the foundations for work in the area. Some subfields recommend that students take the core course immediately (American Politics is one), while others, like Comparative Politics, suggest taking the core in either the first or second year. Methods has course sequences in formal modelling and inferential statistics that require planning ahead.

In general, students take three or four courses towards their major or minor in semesters when they are supported by a fellowship (as all incoming students are) and two or three courses when they are serving as a graduate student instructor. For instance, first-year students who take POLSCI 598 and 599 typically take 1-2 additional courses in the fall semester. This choice depends in large part on their preparedness for methods courses.

In reviewing the course guide (available online through Wolverine Access), it is helpful to know how the university assigns course numbers. Courses at the 600 or pro-seminar level are designed for graduate students, and provide a comprehensive survey of the literature as well as of the concepts, problems, and schools of thought within a given area. Pro-seminars are not intended as advanced research courses. Courses at the 700-900 level are research seminars in which primary emphasis is placed upon intensive training in the design, writing, and presentation of individual or group research papers, or initial work on doctoral dissertations. Graduate students will normally elect courses at the 600-900 levels. Courses at the 400-500 levels may be chosen only when these are of substantial importance to the student's program. Graduate students in courses numbered below 600 are expected to perform at an appreciably
higher level than undergraduate students in the same course.

Math Camp
In the two weeks prior to the start of classes, the Department offers a math refresher camp reviewing functions, calculus, linear algebra, probability theory, and other tools used in the methods courses. The program is open to all political science doctoral students. Attendance is not obligatory, but it is highly recommended for those students who plan to take methods courses, as it is assumed in POLSCI 598 and 599 that students have knowledge of the content covered in math camp. Information about the camp will be sent to all incoming students in midsummer. Students may also choose to attend math camp at the start of their second year.

Mentoring
Before the fall semester, the Director of Graduate Studies will assign a member of the faculty to serve as a student’s first-year mentor. The mentor can give suggestions about course selection and resources on campus. At the end of the first year, and again at the end of the second year, students are reviewed. The mentor normally chairs the review, and students select one (if at the end of the first year) or two (at the end of the second year) additional members of the faculty to participate. (For more information about the review, see chapter 4 in this guide on assessment.) Aside from this formal role, students can make as little or as much of the mentoring relationship as they like. Students may switch their mentors as they develop relationships with other faculty through coursework and departmental activities. A quick email to the DGS from the student and the student’s new mentor is sufficient to make the change. Students are also encouraged to meet with the DGS during their first semester. The DGS has set office hours, and is also available by appointment.

Getting Involved Outside the Classroom
To develop familiarity with research in their chosen subfield, students should plan to attend at least one research workshop regularly; make it a firm commitment on your calendar.

In addition, numerous student organizations within the department, at the Rackham Graduate School, and across campus provide a wide array of opportunities to get involved. See chapter 10 of this guide for descriptions of student organizations. In addition to these formal organizations, cohorts often develop strong bonds, and students may decide to create a group to facilitate interaction or exchange research ideas. If you would like to take part in one of these service opportunities to help your fellow students and the department (such as hosting a student during recruitment weekend), please do not hesitate to contact the DGS or Graduate Program Coordinator and they will let you know how you can help. These activities can be very rewarding and help you get integrated into the department, so please lend a hand if you can!
4. Assessment of Graduate Students

The doctoral program is designed to provide feedback to each student through annual evaluations. We place a strong emphasis on face-to-face conferences between relevant faculty committees and each student. Minimally, every student will have six personal conferences with a committee of faculty during the program: the first- and second-year reviews, two oral preliminary exams, the prospectus defense, and the dissertation defense. These conferences are designed to ensure that the student is making satisfactory progress and that continuation in the program is in the best interests of the student and the department. They also offer an excellent opportunity for students to get personal feedback at each stage of development and to ask questions about future direction. They often become collaborative moments where research ideas are batted about—yes, even in the preliminary exam!

In addition to these face-to-face meetings, the DGS also undertakes a detailed audit of each student’s progress in the program each year. These are based on faculty feedback and on self-evaluations by students, which are mandatory. At the end of this audit, an email message is sent to the student and the advisor(s). The goal of these annual assessments is to keep the student and mentoring team on the same page with the department, the College of LSA, and Rackham regarding funding and academic milestones. The DGS is always available to discuss and clarify anything in the assessment.

Pre-Candidate Assessment

The assessment of pre-candidates has three components: (a) the first-year evaluation, (b) the second-year evaluation, and (c) the preliminary examinations. The evaluations are used to determine as early as is fair and practical the suitability of each student to continue in the doctoral program, and to provide students with feedback from the faculty about their performance. The purpose of the preliminary examinations is to determine both the extent to which the students have mastered the subfields that they have studied, as well as their qualifications for pursuing advanced research in the form of a doctoral dissertation.

At the end of each of the first two years, students are reviewed by a committee of faculty that the student chooses. The First-Year Evaluation features the student plus two political science faculty members. For the Second-Year Evaluation, three faculty members join the student. These reviews are an opportunity for the faculty to assess the student’s progress in the program. The student also lays out a plan for attaining candidacy. The student may also use this time as an opportunity to get feedback about her research plans. Students may find it helpful to use a planning sheet to help them chart out their coursework (available from the graduate coordinator).
All students are required to maintain a grade point average (GPA) of "B+" or better in Political Science courses. Students failing to do so will not be permitted to proceed further in the program. A student should not accumulate a pattern of incompletes or fail to meet expectations for passing preliminary examinations and achieving candidacy. If it appears that the student may have difficulty completing the Ph.D. program, counseling may be provided, which might include a recommendation for dismissal from the program (see below).

First-Year Evaluation
The first-year evaluation takes place toward the end of the student’s first academic year. We generally recommend that the evaluation take place in April. The First-Year Evaluation Committee consists of the student, the student’s current advisor, and one other faculty member chosen by the student. In making its evaluation, the First Year Evaluation Committee will take the following information into account.

- The student’s grade record and a list of winter courses and proposed fall term courses.
- A seminar paper or other piece of work selected by the student as their best work so far. This paper may have been prepared prior to admission to the graduate program.

After reviewing these materials, the committee will meet with the student and discuss the student’s progress in the program. The committee will make a formal report. This report will identify the degree to which the student has mastered scholarly tools, accumulated substantive knowledge, and become acquainted with the relevant literature. The scholarly tools desired include a clear written and oral expository style, burgeoning methodological skills, and a foreign language if appropriate. Deficiencies, if any, that the student is expected to correct will also be identified. The report will contain an overall evaluation of the progress that the student has made since entering the department and of the student’s prospects for obtaining a Ph.D. A copy of the committee’s report will be submitted to the Director of Graduate Studies and retained in the student’s academic folder. It is available to the student.

Second-Year Evaluation
A follow-up evaluation will be conducted toward the end of the student’s fourth term in residence. We recommend that these evaluations take place in March or April. The committee will consist of three faculty members familiar with the student’s performance in the program. Ordinarily the committee includes the student’s dissertation advisor, if known, or the student’s current faculty mentor (if different). One of the additional faculty members should be from the student’s major subfield; the other from the student’s first minor subfield.

In making its evaluation, the committee will examine the same material used in the first-year evaluation, but the portfolio should include a piece of written work that the student completed during the second year. In addition, the student is required to submit a written plan of
coursework for achieving candidacy. The committee will also assess how well the student has addressed any deficiencies noted during the first-year evaluation.

At the conclusion of this meeting, the student will be given one of the following evaluations: encouraged to proceed towards the Ph.D., eligible to proceed, or not allowed to continue in the program. The evaluation form will be submitted to the Director of Graduate Studies and retained in the student’s academic folder. It is available to the student. Students who are not allowed to continue in the program may appeal this decision to the Director of Graduate Studies, in writing, within one week of the evaluation. Students who appeal will be reevaluated within two weeks of the date of their appeal by a new committee consisting of the Director of Graduate Studies as Chairperson, the coordinator of the student’s major subfield (or designate), and a third member from the department selected by the student. The decision of this second evaluation committee will be final.

Preliminary Exams
Usually in the third year, but often earlier, each student completes two preliminary exams. Part of the examining committee’s deliberation and report includes an assessment of the suitability of the student’s continuing in the program. More information about the preliminary exams can be found in the next chapter (“Achieving Candidacy”). Subfields differ with respect to whether and which courses must be completed before an exam can be taken. The decision about exactly when to take the exam, as long as it is completed before the end of the third year, is made by the student in consultation with the prelim committee chosen for a given subfield. Students are strongly encouraged to move to and through the prelim stage as efficiently as possible. Some students will opt to take 5 courses in their major subfield before they take their prelim, but others will be ready after only 4 and possibly fewer courses depending on their experience.

Adequate Progress in Candidacy
Once a student achieves candidacy and until the student files the dissertation, the student’s dissertation advisor enters an annual assessment of the student’s progress toward completion of the dissertation. These annual assessments are due each June 1st. The DGS will also audit the student’s file to be sure that the student has achieved the remaining milestone of defending the dissertation prospectus by the end of the student’s fourth year in the program. With a positive assessment of progress, the student is deemed to be in “good standing” and is eligible for departmental funding, including the LSA tuition fellowship. Students who are not making sufficient progress may recover their good standing status for the winter semester if the advisor determines that the student is making adequate progress by December 1st. Students forfeit any funding commitment from the department during periods when they are not in good standing.
The Director of Graduate Studies, with the support of the student’s advisor, can modify departmental guidelines in cases of personal or family illness, childbearing, family and dependent care, or other important and relevant circumstances.

**Academic Probation and Dismissal Policy**

The policy on probation and dismissal from the program is meant to be implemented rarely; it is our goal to provide students ample opportunity to correct any issues before either probation or dismissal policies are invoked. Students who have questions or concerns about their academic standing, or about this policy are encouraged to speak with the Director of Graduate Studies.

Academic probation and possible dismissal from the Political Science PhD program can be the result of a number of academic issues, including (but not limited to):

- Poor academic performance, final grades
- Failure to meet department program benchmarks
- Poor annual evaluations
- Failure to make good progress to degree completion

Students who fail both a preliminary exam as well as a second try (as stipulated) may be dismissed from the program without a probationary period.

Academic issues of any kind will be discussed with the student as soon as possible in order to encourage and provide time for correction before a probationary period is set. In the event issues have not been resolved in a timely manner, the student can be placed on a probationary period before a decision to dismiss can be made. Placing a student on academic probation will be the decision of the Graduate Affairs Committee as well as the student’s primary academic advisor. The Graduate Affairs Committee is a faculty panel comprised of the Director of Graduate Studies, the Placement Director, and the Director of Admissions. The Rackham Graduate School will also be notified when a student being placed on probation.

Probationary periods will be for no less than two months. Students placed on probation within two months of the end of a regular term will have probation extended into the next term. Most probationary periods in Political Science will be for no less than one full term and no more than one calendar year. Students can request a leave of absence (see Rackham’s leave of absence policy) during the probationary period. In such a case, the probation clock will stop during the leave of absence and resume upon the student’s return to active status.
Students placed on probation will receive a formal letter from the Director of Graduate Studies prior to the start of the probationary period. This letter details the reasons for probation, conditions for returning to good standing, start and end dates of the probation, funding support available, and options for appeal.

Students on probation will have access to the same funding sources available to them prior to the implementation of the probation. Thus, students with guaranteed funding remaining will still be able to access those terms of funding while on probation. This policy may be subject to change in the future. Students with questions about funding during probation should contact the Academic Program Manager. Students who wish to appeal the probation decision can do so by writing a letter to the three-faculty member appeal committee. This committee will be comprised of the Department Chair, Associate Chair, and one additional faculty member from the Department Executive Committee.

At the end of the probationary period, the student will either be dismissed or returned to good academic standing by recommendation of the Director of Graduate Studies with the approval of the Graduate Affairs Committee and the Rackham Graduate School. Students failing to meet the conditions of their probation as outlined in the probation letter will be dismissed from the program. Students will be allowed to appeal a dismissal decision by writing a letter to the three faculty member appeal committee (under the same terms as the probation appeal committee above).

Students who fail to meet the standards of academic or professional integrity, or who have been found responsible for violations of other University standards of conduct, may be dismissed in accordance with separate procedures described in the Rackham Academic and Professional Integrity Policy.

Appeals Process
A student may appeal any decision of any counselor, guidance committee, or evaluation committee to the Director of Graduate Studies. A student may also appeal a decision of the Director of Graduate Studies to the Department’s Graduate Affairs Committee (GAC). In case of such an appeal, a faculty member designated by the department chairperson will replace the Director of Graduate Studies as chairperson of GAC for the consideration of the appeal. The decision of the GAC is final.

Reinstatement to the Program
Reinstatement to the program is open only to students who withdrew or were dismissed from the program in good standing. Students who fail to enroll for any fall or winter semester will be considered “inactive” and dismissed from the program (see the chapter on Rackham policies,
Inactive students who withdrew or were dismissed from the program in good standing may seek reinstatement by application through the Rackham Graduate School. In addition to the Rackham requirements, students must meet the current admissions standards of the department, write a plan for research at the forefront of current political science, and provide a timeline for completion of the degree that is both feasible and complete. Students seeking reinstatement are required to confer with the DGS before preparing a formal application. The DGS, in consultation with the Director of Admissions and faculty members who have worked with (or are likely to work with) the student, will decide whether to recommend that the student apply for reinstatement. Students are strongly advised to follow that recommendation in considering whether to proceed with the application.

Applications for reinstatement must be approved by the Rackham Graduate School and by the Director of Graduate Studies, who will consult with the department’s Admissions Director and the student’s advisors. Reinstated students may apply for financial support, including GSI and grader positions, and are eligible to receive the college’s tuition fellowship as long as they remain in good standing. Reinstated students must continue to meet every condition of their plan or they will be dismissed.

Students who discontinue their enrollment in any semester after winter 2012 are required to pay a reinstatement fee equal to one quarter of the prevailing candidacy tuition rate for each fall and winter semester that the student was not registered, up to a maximum of eight semesters. This fee will be shared equally by the student seeking reinstatement and the Department of Political Science. For joint degree students, the department’s costs will be shared equally between the two programs.

Students who have been dismissed due to a failure to make adequate progress or who have failed their preliminary exams twice will not be reinstated in the program.
5. Achieving Candidacy

When a student has satisfied all the requirements for the Ph.D. degree except the dissertation, the student is officially admitted to candidacy. The requirements for admission to candidacy include the following:

1. Satisfaction of the cognate subfield requirements.
2. Qualification in the major subfield by preliminary examination.
3. Qualification in the minor subfield by preliminary examination.
4. Clearing all incompletes. (Students may be advanced to candidacy with one incomplete remaining on their transcript provided they have a plan in place to complete it within a semester, approved by both the instructor and the DGS and filed with the DGS.)

Rackham requires students to advance to candidacy by the start of the fall term of their fourth year. To remain in good standing and be eligible for fall term funding, the Department requires students to achieve candidacy by July 1 after their third year in the program. This deadline is especially crucial for students who will be on departmental funding, including GSI positions, because the department must report to Rackham that all students receiving these positions are in good standing. These reports are written in June and July.

Only rarely will exceptions to the three-year deadline be allowed; requests for extensions of this deadline should be made by the end of the winter semester of the student’s third year. They will be considered by the student’s advisor and the Director of Graduate Studies.

Students not securing such extensions who fail to achieve candidacy on time will forfeit the Department’s financial aid commitment for the first semester of the fourth year. They will also be placed on academic probation by the Rackham. Provided they achieve candidacy by November 1 (the start of the GSI assignment process for Winter), their funding can be reinstated with DGS approval. The DGS makes this determination in consultation with the student’s advisor. Students who do not achieve candidacy by the end of their extension will be declared inactive and will not be allowed to continue in the program. Students without extensions who do not achieve candidacy by the end of their first term on probation will be declared inactive and will not be allowed to continue in the program.
Candidacy Expiration
Candidacy expires after five years. Any student who does not file the dissertation within five years of achieving candidacy may need to retake the major preliminary exam. This rule applies to students who have been active as well as those who have been granted a leave by Rackham.

The Cognate Requirement
A student satisfies Rackham’s cognate requirement by taking four credit hours of coursework in approved graduate-level courses in a subfield differing from their Major and Minor subfields. The credit hours may be taken inside the Political Science Department for a grade of B or better, or outside the Political Science Department for a grade of B- or better. Cross-listed courses taught by Political Science faculty may be used to satisfy this requirement, provided that the course material is in a subfield different from the student’s major and minor subfields. Cognate coursework is approved by the DGS and the student’s advisor, with final approval by Rackham.

Joint Degree Students
Students enrolled in one of our two joint programs (with Social Work or Public Policy) satisfy their political science program requirements through one major subfield. The student’s coursework in the joint program subfield substitutes for the minor and cognate.

Preliminary Exams
Students take preliminary exams in both their major and minor subfields. The exams are designed by each subfield. The purpose of the prelim in the major subfield is to allow the examination committee to determine whether the student is adequately prepared to teach undergraduate and graduate courses in the subfield. In addition, for the major exam, the committee will assess whether the student is prepared to conduct advanced scholarly research in that subfield. Such preparation for research includes, but is not exhausted by, mastery of a substantial body of knowledge in the subfield.

Preliminary examinations in both the major and minor subfields should be seen as an opportunity for students to develop their own thoughts in written or scholarly discussion. Students are expected to be familiar with principal literatures and major debates in the subfield, as well as trends in empirical findings and methodological approaches. They will be expected to organize and classify information, to discuss relationships between ideas and phenomena, and to generalize on the basis of relevant evidence about central issues within the subfield. The examination committee will look for originality and creativity above and beyond familiarity, understanding and mastery.
For the major subfield, students must not only display mastery of a substantial body of knowledge, but must be conversant in particular with research at the cutting edge. They should be able to identify major unsolved problems and should be familiar with how research is conducted within the subfield. The major exam is not a preliminary discussion of the student's proposed dissertation; rather, it is designed to determine whether the student is qualified to undertake dissertation research.

Across the subfields, the content of the exam differs, and the exam is often individuated for each student. Some subfields have a core reading list, while others require the student to supplement a core reading with other texts. Some subfields suggest that the student write potential exam questions. As a result, content varies greatly in scope and specificity. Students often have considerable responsibility for defining the topics and the readings that will be covered in the exams.

Despite the individuality of many exams, every exam should be fairly wide-ranging. It should not be confined to just one topic such as “the government and politics of Russia and its successor states.” Fairness requires agreement between student and committee, typically in the form of a reading list, as to what constitutes the subfield to be covered by the exam. In order to assist the department in arriving at and maintaining reasonable consistency across subfields in the conduct of prelims, the Director of Graduate Studies reads all faculty reports of performance on major and minor prelims.

All subfields require an oral examination, and some also require a written component. Some subfields offer the exam only at designated periods during the academic year, while others permit students to schedule the exam at any point. Oral examination committees are composed as follows:

- For a major prelim: three faculty members in the major subfield and one person chosen at random from faculty outside that subfield. The graduate coordinator assigns the random member of the examining committee.
- For a minor prelim: two faculty members from the minor subfield.

The oral examining committees must be approved by the subfield coordinator or Director of Graduate Studies in the case of student-designed minors. Except in unusual circumstances, the core membership of the major and minor prelim committees may not overlap; the three faculty members from the subfield of the major prelim and the two members from the subfield of the minor prelim must be different people. However, one or more of the core members of one preliminary examination committee may also participate in the other examination committee, if the student so requests, provided that the membership of the committee in which there is
overlapping membership is enlarged correspondingly by one or more members of the faculty from the subfield under examination.

In subfields that permit exams at any point, students are responsible for scheduling the faculty examining committee and reserving the room. Random members should be included in the scheduling process from the very start. On the day of the exam, students should pick up a prelim exam form from the graduate coordinator’s office, as well as the student’s academic file. The examining committee will fill out the examination form, which the student should return promptly to the graduate coordinator, along with the academic file.

**Evaluation of Preliminary Exams**
The exams are graded on the scale of Honors Pass/Pass/Fail. Three favorable votes are sufficient to pass the major preliminary examination. Students who fail are entitled to be examined a second time. On a retake, the examination committee should consist of five department members (four from the subfield, one outside it), and the support of four faculty is necessary to receive a grade of Pass. Of the original four who attended the first examination, three members, one of whom must be the chair, should return for the second examination. If there is a need to replace a member other than the original random member, the replacement will be nominated by the student and approved by the Director of Graduate Studies and the chair of the committee. The student, in consultation with the advisor, may request assignment of a new random member. The faculty member assigned at random to serve on the original committee may decline to serve on the re-examination.

To pass the minor prelim requires favorable votes from both examining faculty members. If students fail the minor examination, they may request a reexamination. On the second round, the panel should consist of three departmental members from the subfield, including at least one member from the first round; a unanimous vote is necessary to pass.

Failing the second attempt of either the major or minor exam terminates the student's pre-candidacy status, and results in the student's dismissal from the program. Students may appeal the grade awarded in a prelim; see the section entitled “Appeals Process” in the previous chapter.

**Subfield Qualifying Criteria**

**American Politics**
Preliminary exams in American Politics are oral with no written component. The exam is based on a reading list drawn from the syllabi for POLSCI 611, 629, and 630, which students are
strongly encouraged to take. The American politics core course, POLSCI 611, is offered every fall semester and consists of a broad introduction to the study of American Politics. This course features a mix of classic works and cutting-edge research. Each winter semester, the department offers two courses designed to build on 611: a proseminar on political institutions (POLSCI 629), and a proseminar on political behavior (POLSC 630).

The American Politics preliminary exam usually takes place in early fall of each year. The exam format will be oral with no written component. Students must demonstrate knowledge of research literature across all facets of American politics (e.g., behavior, institutions, etc.). Students majoring in American politics are expected to take this exam at the start of their second year after studying for the exam during the summer. However, students may choose to take the exam in the winter semester of their second year. The subfield coordinator will select the faculty administering the exam. Faculty members will be selected to administer the exam at the beginning of every academic year, and are ineligible to serve in subsequent years until all eligible faculty in the subfield have taken a turn on this committee.

Comparative Politics
The preliminary exam in comparative politics is a two-hour oral examination based upon three questions and a reading list. These will be constructed by the student in consultation with the committee, and must be approved by all members of the committee. The faculty will select at least one of the questions submitted by the student to begin the exam. For the major prelim, the examining committee will be composed of three faculty from the subfield, selected by the student with the approval of the subfield coordinator, and one random outside member assigned by the graduate coordinator. For the minor prelim, the committee will comprise two faculty from the subfield selected by the student with the approval of the subfield coordinator.

The reading list must allow the student to address the three questions and, in doing so, to demonstrate both a broad command of the material and the promise of making intellectual contributions to relevant scholarly literature. While one portion of the reading list may focus on the student’s area of special interest, the reading list must be extensive enough for the student to demonstrate the ability to think critically about a broader cross-section of the comparative politics subfield. The subfield will maintain a repository of graduate course syllabi that students may consult to construct their reading lists.

Students should plan on taking the comparative prelim before the end of their second year. The exam is offered during three windows each year: the second and third weeks of January, the last two weeks of April, and the last two weeks of August. Students should plan well in advance to form their committees, to generate their reading list and questions, and to coordinate a schedule that works for the student and all members of the prelim committee.
There are no requirements regarding how many courses students should take prior to the exam. However, it is strongly recommended that comparative politics majors take the proseminar in their first year and at least two additional graduate seminars in the subfield before taking the preliminary exam. Minors may take the exam after taking the comparative politics proseminar.

**International Relations and World Politics**
The preliminary examination is an oral examination based on a reading list assembled by the candidate and approved by his or her committee. For a major prelim, a committee of three faculty in the world politics subfield with a randomly assigned outside member administers a two-hour oral exam; a minor requires a committee of two and a one-hour exam. The basis for the reading list is the syllabus for POLSCI 660. The reading list needs to cover some material from all perspectives within world politics. It can emphasize particular topics of interest to the candidate. The prelim is commonly administered at the end of the student’s second year or in the beginning of the third year.

For more details concerning the major or minor prelim in International Relations and World Politics, consult with the subfield coordinator.

**Law, Courts, and Politics**
The preliminary examination in Law, Courts, and Politics contains both written and oral components and is offered twice a year. It is based on a reading list which covers basic debates and issues in the subfield. Students are also invited to generate a subsection of the list covering their own particular research interests. All students planning to take an exam in Law, Courts, and Politics should contact the subfield coordinator for a copy of the reading list.

The fall exam is two weeks after the beginning of the Fall semester and the spring exam is two weeks after the end of the Winter semester (approximately September 15 and April 30).

**The Exam Structure**
Students submit three proposed exam questions two weeks prior to the date of the written exam. The written component is comprised of five questions from which a student selects three questions. Questions may cover themes in any of the categories on this list, and there is no guarantee that all sections will be covered. If a student composes a subsection of the list that relates to their research interests there will be a corresponding question on the exam. Approximately one week later, the oral portion of the exam takes place. This oral portion may cover the written exam that the student has submitted, as well as materials from sections on the reading list that were not covered in exam questions. Please note that exam dates must be
scheduled well in advance.

For a major prelim, a committee of three faculty members administers the exam. Majors will generally take their prelim at the end of their second year or in September of their third year. For a minor prelim, a committee of two faculty members administers the exam. Minors should take their prelim at the beginning of their third year, and certainly by the end of their third year. In forming a preliminary exam committee, students should consult with their major advisors, as well as faculty with whom they have worked.

**Research Methods**

Students may choose Political Methodology as a primary focus of their intellectual activity. Students who intend to write a dissertation in methods and to engage in research and teaching aimed at improving our understanding of research methods choose Methods as their major subfield. Students who desire to combine a strong interest in a substantive subfield with a strong methods background are invited to seek a minor in Methods.

There are five core methods courses:

- Behavioral Research Methods, POLSCI 680
- Introduction to Formal Modeling, POLSCI 681
- Field Experiments, POLSCI 689
- Qualitative Methods, POLSCI 694
- Quantitative Empirical Methods, POLSCI 699

Requirements for the Methods Major and Minor are as follows.

Requirements for a Major:

- Three or more advanced courses within the subfield (i.e., courses beyond the core courses 680, 681, 689, 694 and 699); students pursuing the Master’s in Statistics may substitute the advanced electives taken for that program for two of these three courses
- Appropriate coursework in mathematics or related disciplines, or equivalent knowledge
- A major preliminary examination

The scope and format for the major exam is determined in consultation with a prelim committee. The major exam should evaluate the student’s grasp of core material from the student’s areas. The major exam usually involves the student’s submission of an original research paper that works expertly at, or positively advances, the frontier in some area(s) of methods. The exam typically explores the topics in and related to the paper deeply, and surveys
and evaluates the student’s broader knowledge and comfortable familiarity across the areas of research methods.

Requirements for a Minor:

Students who successfully complete a minor in methods will have the background necessary for conducting rigorous research in their areas of substantive expertise, as well as be prepared to teach introductory methods courses. The requirement for a methods minor is a successful preliminary exam. Preparation for the preliminary exam normally consists of:

- Coursework in three of the five core methods areas (i.e., three of the core courses 680, 681, 689, 694 and 699), including at least one of either POLSCI 681 or POLSCI 699
- At least one advanced course in at least one area
- A prelim paper.

The content of the paper and the scope and format of the exam are determined in consultation with a prelim committee. The paper should demonstrate that the student has a firm grasp of the core and advanced material, can integrate the material from different methods areas, and can apply this material well to a substantive research question. This paper serves as a starting point for the oral exam. The examination as a whole, however, will have more general coverage than merely referring to the paper. The examination will span three of five broad methodological areas chosen by the student.

For more details concerning prelims in methods, please consult the subfield coordinator.

Political Theory

The preliminary examination in political theory includes written and oral components. The exam is offered twice each year, in September and January.

Guidelines

Students majoring in political theory will typically take their theory prelim in September of their third year, depending on individual circumstance, January of the second year might also be considered as a possibility. Only in unusual cases will a theory major be allowed to take the exam earlier or later than these two specified options. If students fail the exam in September, they must retake it in the following January.

Students minoring in political theory may take the exam in September or January of their third year. If students are facing institutional deadlines and need to pass the exam before September
1, they should consult with the subfield coordinator to explore the possibility of taking the exam out of season.

All students who intend to schedule a theory examination should work with member(s) of the theory faculty well before the term during which students intend to take the exam. Several months before the planned exam, they must contact the faculty who they would like to serve on the exam committee. At this point, the student and faculty discuss the initial reading list and the student’s interests in order to shape their proposed part B questions (see #5 below).

In the weeks prior to the exam, students must work closely with their committee members to finalize their reading list and proposed questions. Students must submit topics, questions, and/or particular points of concentration no later than the first day of classes of the term during which they plan to take the exam.

The written exam is a combination of questions written by the faculty and questions submitted by the student. It consists of two parts. Part A is composed of 2 or 3 questions which address broad themes and issues from the history of political theory. All students will answer one of these questions. Part B is composed of 3 or 4 questions per each student taking the exam. These address the students’ planned research interests. The questions are based on the questions submitted by students, sometimes replicating them directly, but usually edited for focus and feasibility. The questions ask for a more particular focus on texts, authors, or historical periods. Theory majors will answer 2, minors will answer 1.

Students are expected to consult their books and notes as they think through the questions, although they may not work with other students once they have received the questions. Written responses are limited to 2,000 words for Part A and 1,250 words for each question in Part B. On the second Thursday after classes begin, questions pertaining to the written portion of the exam will be available for students on electronically at 1 p.m. Written essays are due back electronically by 5 p.m. the following Monday.

The oral portion of the exam will be given within two weeks. Students should coordinate the scheduling with the exam committee members and with the graduate coordinator well in advance of the written exam. The oral examination will work off of the written portion, using the student’s responses as an entree into discussions and follow-up questions. Oral exam questions are not, however, limited to the substance/subject matter of the written portion. Students may obtain reading lists from the subfield coordinator and their committee members.

**Student-Designed Minors**

Student-designed minors (e.g., Political Economy, Women and Politics, or Racial and Ethnic Politics) can be an excellent way for students to tailor their coursework to fit the specific needs
of their dissertation research, to integrate into their Program approaches and methodologies for political research from outside the Political Science Department or to build on intellectual strengths within the Department that cut across the subfields. To design a minor, students should form a committee of two faculty who work within the subfield that the student proposes to design. The student and faculty together, in consultation with the Director of Graduate Studies (who approves all student designed minors), should select courses for the proposed minor and decide the format of the preliminary exam. All prelims must have an oral component, but the student and faculty may decide to add a written component. The student must receive approval from the committee for the examination reading list.

Modes of Inquiry Minor
The Modes of Inquiry Minor is a “guided” student-designed minor that aims to serve students who want to develop skills of problem-posing and analysis so as to be able to successfully frame a dissertation using approaches not covered by the Methods minor nor by POLSCI 680. As with any student designed minor, courses for the Modes of Inquiry minor and the design of the prelim are chosen by the student and a committee of two faculty members, one of whom must be a member of the Political Theory or Law, Courts, and Politics subfields, in consultation with the Director of Graduate Studies (who approves all student designed minors).

The “Modes of Inquiry” minor would involve different configurations of courses depending on the student’s particular interests and area of specialization.

It will most likely involve training in analytic approaches specific to students’ research projects, which may be fulfilled by existing offerings in Political Science (e.g. qualitative methods, measurement, statistics), or by options outside the department (e.g., in Communication Studies; Women’s Studies; Screen Arts and Cultures; History; Sociology).

Law, Courts, and Politics and Political Theory students may wish to structure the minor to enable them to take additional seminars in the subfields of law/political theory, specifically those of our existing offerings that focus on current debates in the subfields of law and political theory with an emphasis on reading and analysis of secondary literature plus formulating/situating questions that engage ongoing debates. Every student who opts for the Modes of Inquiry minor is strongly encouraged to take POLSCI 702 “Modes of Inquiry,” a collectively-taught course which presents various modes of inquiry involved in some of the textual, historical and interpretive approaches that are requisite to conceive and execute dissertation research in political theory and law.
6. The Dissertation Stage

Once a student enters candidacy, the experience in the program changes dramatically. While students may continue to register for one course per semester and may serve as a GSI or hold a compensated research assistantship, the bulk of their time is dedicated to independent research. Many find the stretches of unstructured time disorienting. It is a good idea to establish a daily routine, set intermediate goals, participate in formal or informal research workshops, and keep in close contact with the dissertation advisor.

Coursework

All candidates should register for POLSCI 995 (Dissertation/Candidate) with their dissertation advisor. Candidates may enroll in one additional course up to four credits per semester. If a course is only offered once per year, students may “bank” their course, taking two courses (up to eight credits) in the next semester. Students may only bank courses for one semester.

Dissertation Committee

Choosing a Dissertation Chair

During the third year (or sooner), the student should select a dissertation advisor and file the request with the graduate coordinator. The dissertation chair must be a regular member of the Department of Political Science. Students may select two advisors to co-chair the committee; in this case, one of the members may come from outside of the department. Selection of the dissertation advisor is entirely up to the student, and the student may alter the selection at any point during candidacy. Any alterations should be filed with the graduate coordinator.

Committee Composition

By the end of the fourth year, and no later than the end of the fifth year, the student should formulate the full committee, and file the committee with the graduate coordinator, who in turn files the committee with Rackham. A dissertation committee normally consists of three faculty members from the Department of Political Science and one cognate faculty member who holds at least a .50 appointment in another Rackham doctoral program. However, the student may form a committee with as few as two members from Political Science. Faculty holding a courtesy appointment in Political Science may serve either as an internal or cognate member of the committee. Faculty from other universities may participate on the committee, but not as the sole dissertation chair or as the cognate member of the committee. These faculty members are considered “special members,” and students must submit to the DGS a memo (along with each outside faculty member’s CV) that explains the expertise this person brings to the committee. Full guidelines for dissertation committee composition and eligibility are
available on the Rackham website.

**Dissertation Prospectus**

In a prospectus, doctoral candidates lay out their intended dissertation research. It is a form of a commitment to a research project that will consume the student for the next $n$ years, and often will lay the foundation for a career. The prospectus defines the student’s dissertation research question by assessing the existing literature and noting the gap—and its significance—that the student intends to fill. The prospectus also includes a plan for filling the gap: the methods to be employed, the theory to be constructed, and the data or evidence needed to evaluate it. The precise format and content of the prospectus varies between subfields and topics. Students should discuss expectations with their advisor.

Writing a prospectus is a process that generally involves multiple meetings with one’s advisor and sometimes the full committee. It is expected that students will submit a dissertation prospectus and convene a meeting with the members of the dissertation committee at which they successfully defend the document no later than the end of the fourth year or within one year of achieving candidacy, whichever comes first. Students who fail to meet this milestone risk falling out of good standing, and should consult with the DGS well before this deadline is missed.

A successful prospectus defense requires at minimum 3 out of the 4 members of the dissertation committee to be in attendance (virtual participation counts as attendance). This must include the chair or co-chairs, and two attending members must hold appointments in the Political Science Department. The cognate member need not be present, although students are encouraged to include their cognate member at this stage. When the prospectus is successfully defended, a copy of the prospectus defense form (available from the graduate coordinator) must be filed in the student’s academic file.

Students who have not had a dissertation prospectus approved and have not set up an official dissertation committee with Rackham within two years of achieving candidacy may be declared inactive and will not be allowed to continue in the program.

**The Dissertation**

The final requirement for the Ph.D. is the doctoral dissertation itself. The dissertation must be original scholarly research conducted by the student that makes a contribution of substantial merit and value to the discipline. Dissertations normally take one of two forms: a book-like project or three relatively independent essays, each of publishable quality as a stand-alone article. Different topics lend themselves better to one format or the other, and each has its
merits.

The final copies of the dissertation must be prepared in conformity with the requirements of the Rackham School of Graduate Studies, and it must be successfully defended before the student's dissertation committee, which normally consists of three faculty members from the department and one faculty member from outside the department.

A student has five years to finish the dissertation after achieving candidacy or seven years after admission to the Ph.D. program, whichever comes sooner. These deadlines are set by the Rackham School of Graduate Studies. Those not completing the dissertation within five years of achieving candidacy will be required to retake and pass a preliminary examination in their major subfield.

7. Master’s Degrees and Program Certificates

For Political Science Doctoral Students

While the Department of Political Science does not offer a terminal master’s degree and does not admit students who seek only a master’s degree, doctoral students may elect to earn a master’s degree in the course of their study. Requirements for a general M.A. in Political Science include:

- 24 hours of graduate level course work (course numbers 500 and above), including
  - 18 hours of graduate level political science coursework
  - 6 hours of work in cognate courses
- a grade of B or better in all courses
- certification of the preparation of an M.A. thesis by a faculty member.

The master’s thesis should be a substantial piece of original research prepared for a graduate course in the department or prepared especially for the M.A. degree. As a general rule of thumb, the thesis should be of a quality suitable for submission to a political science journal. A member of the political science faculty must approve the thesis.

Students who want to get a master’s degree should contact the graduate coordinator during the term. The students are then prompted to “apply for graduation” BEFORE the term ends. Once approved, the master’s degree will appear on the student’s transcript for that term.

Political science doctoral students may be interested in earning a master’s degree in another Rackham program. Students should consult the graduate program guidelines of the other
department to learn of that department’s particular requirements.

**For Doctoral Students from other Rackham Programs**

Students from other Rackham programs may earn a master's degree in Political Science with the same requirements as internal students with one addition: students must defend the thesis orally before a committee of two members of the political science faculty.

**JD/MA in World Politics**

The Department of Political Science and the College of Law have collaborated to offer dual degrees in law and political science, with an emphasis in world politics. Students secure admission to the law school first, and during their first or second year apply to the department political science master’s program. Entry to the program requires the completion of POLSCI 660 and recommendation of the instructor to admit the student. Master's degree criteria are the same as for all other students outside of the political science doctoral program. Specifically:

- 24 hours of graduate level course work (course numbers 500 and above), including
  - 18 hours of graduate level political science coursework
  - 6 hours of work in cognate courses
- a grade of B or better in all courses
- preparation of an M.A. thesis
- successful defense of the thesis before two political science faculty.

Students should take courses in world or comparative politics, with at least one-half of the required hours coming from world politics.

Law students with interests in other political science subfields should contact the Director of Graduate Studies.

**Program Certificates**

Some campus programs offer certificates that can testify to your mastery of the program’s material. For example, the Program in Survey Methodology and the Center for the Study of Complex Systems each offer a certificate program. Contact the program for more information.

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**8. Rackham and University Policies**

**Continuous Enrollment**

Effective since the fall semester of 2010, Rackham requires that all graduate students enroll continuously in each fall and winter semester from the time that they matriculate until they graduate. Leaves of absence are available for medical purposes and personal reasons. See the
Rackham website for more information about leaves.

Students must be enrolled if only for one hour of credit (which carries a minimum enrollment fee) when they take preliminary exams (except in the summer). Students must be enrolled full-time during the term in which they take the final oral defense of the dissertation, including the spring/summer term.

The Horace H. Rackham School of Graduate Studies defines full-time enrollment as 9 credit hours per term as a pre-candidate and 8 credit hours per term as a candidate. Students who are enrolled half-time may not take more than six hours of courses. Students who enroll half-time for one or more terms must enroll for more than the eight-term minimum referred to above (or pay equivalent fees).

**Leave of Absence**

Ph.D. students may request a leave of absence when certain life events prevent continued active participation in their degree program. Rackham’s Leave of Absence Policy enables students to officially suspend work toward their degree for a limited time. Students may request a leave of absence as early as six months prior to the term the leave is to start. A leave will be granted to students for illness (either physical or mental) or injury, to enable them to provide care or assistance for family or dependents, to allow them to meet military service obligations, or for other personal reasons.

(Students are required to meet with the DGS to plan for a leave of absence, and also discuss possible alternatives to a leave of absence. The student is not required to disclose details about the leave.

Once a student decides that he or she needs to take a leave and has discussed the leave with his or her graduate program, the student submits a request for a leave in Rackham’s Leave of Absence system, providing basic information about the dates of the leave as well as the type of leave. Supplemental information is required for leaves of absence for medical reasons, military service and family necessity/dependent care.

Students are encouraged to develop a re-entry plan when they request a leave of absence. The purpose of a re-entry plan is to provide a record of the student’s progress in the graduate program, remaining funding commitment, as well as a clear understanding about next academic steps once the student returns from the leave of absence. This document can be helpful if there is a change in graduate program leadership while a student is on a leave of absence. An example of a tentative re-entry plan is available. Rackham does not collect a copy of the re-entry plan, but rather a copy should be kept in the student’s file in the graduate program.
Students can find more information, and request a leave for absence on Rackham’s website:

http://www.rackham.umich.edu/policies/registration/leave-of-absence#types

**Time to Degree**
A student has seven years to complete all requirements for the Ph.D. program. The Graduate School may permit an additional calendar year if a student files for a time extension. Students who anticipate taking longer than seven years must file for a time extension six months before exceeding this deadline. In exceptional cases, the Graduate School may allow up to 24 months beyond the seven-year rule.

The Graduate School reserves the right to rescind candidacy for those exceeding seven years. Those who have not met all the requirements for the degree within seven years and who have not had a formal extension approved by the Graduate School may be declared inactive and will not be allowed to continue in the program.

**Ten Term Rule**
Graduate Students are limited to ten terms of support that come from College General Funds (e.g. employment as a GSI). Tuition-only fellowships, Departmental Fellowships and most GSRA positions do not count toward the ten terms. This rule imposes a cap on employment; it is not a guarantee of ten terms of employment. Students should consult their offer letters to see what is guaranteed to them. For more information on exceptions to and calculation of the ten term rule, see http://lsa.umich.edu/lsa/faculty-staff/graduate-education/policies/the-ten-term-rule.html.

**9. Resources**

**Financing your Education**
Graduate school is an expensive undertaking, requiring a significant investment of time and financial resources. The University of Michigan, through a combination of college, departmental, and graduate school resources, is happy to share in that investment, significantly reducing the financial burden on our doctoral students.

At present, new doctoral students admitted through the regular admissions process enter the program with a funding package sufficient to cover tuition, insurance, and modest living expenses for the first five years of study. This section describes additional resources, beyond the department’s funding package, that are available to every student.
Most students are admitted to the doctoral program during the annual cycle. In rare instances students will be considered off-cycle. However, no student admitted off-cycle will be awarded departmental funding.

A Typical Path

Years 1 to 5:
Students have funding commitments from the department. Support combines fellowship (ordinarily during the first year) and teaching positions as laid out in the student’s offer of admission. Students often pursue other sources of funding to reduce teaching loads or gain stipend support that is higher than the departmental fellowship. Note that any outside funding replaces the department’s funding; the departmental commitment cannot be deferred.

Most students who do not obtain outside funding teach for three or four years, two semesters per year. The department requires all first-time GSI’s to take a graduate student instructor training course (POLSCI 993) in both Winter term of their first year, and Fall term of their first year teaching. International students are required to demonstrate language proficiency.

Year 6 and beyond:
It is not uncommon for students to take more than five years to complete their degree. Currently, the national average time to degree is 6.7 years and students in our program average just under 7 years.

Funding beyond the fifth year is not guaranteed. As long as a student remains in good standing within the program, LSA offers a tuition fellowship for tuition and insurance expenses in the fall and winter semesters. (See Below: The LSA tuition fellowship cannot be used in the semester when a student files the dissertation). Students remain responsible for registration fees and their living expenses. Many students obtain external sources of support.

Students who have not obtained an external source of support, and who have not hit their 10-term limit, may apply for a teaching position within the department. Teaching positions are limited and students in their first five years of study have priority for assignments.

The final semester:
LSA Tuition fellowships, which cover tuition expenses for candidates, cannot be used for the semester in which the student files the dissertation. Forms of support that may be used to cover tuition include: a GSRA, a Rackham One-Term award, or external awards. As these sorts of resources are scarce and awarded competitively, students are strongly encouraged to plan for their funding in the final term well in advance.
**LSA Tuition Fellowship**
As described above, once students achieve candidacy, and as long as they remain in good standing, they are eligible for a tuition fellowship. The LSA tuition fellowship covers a student’s full Rackham candidacy tuition for fall and winter semesters, plus health insurance. Students who fall out of good standing in the program (see chapter 4) cannot receive the tuition support. LSA introduced the tuition fellowship when Rackham introduced the continuous enrollment requirement to offset the costs associated with that requirement. It was not intended and cannot be used to cover tuition costs in the final semester of registration. Students should plan ahead to cover tuition through other sources for this semester.

**Departmental Supplemental Support**
At the start of each academic year, the Director of Graduate Studies circulates a list of all departmental supplemental funding opportunities and any Rackham funds that require departmental nomination or assessment. Some funding opportunities are competitive and some are merit-based awards. The department’s merit-based awards are determined by the faculty Graduate Affairs Committee, composed of the Director of Graduate Studies, the Director of Admissions, and the Director of Placement. The program coordinator regularly advertises opportunities.

**Other Resources**

**Graduate Student Research Assistants (GSRA)**
Many of our faculty have research grants that enable them to hire graduate students as research assistants for a semester or more. In most cases a GSRA position will cover the student’s tuition, health care, and a stipend. Often sponsor constraints mean that GSRA positions cannot be offered to students who have not achieved candidacy. Faculty do not generally advertise GSRA positions. We encourage students to ask faculty about position availability.

**Rackham funding**
Rackham sponsors a variety of fellowships and resources to help defray research expenses, travel costs, tuition and stipend support, and emergency costs. Please see the appendix for information on Rackham funding as well as the Rackham website.

**Infrastructure**

**Computing Lab**
The Department of Political Science houses a computing lab on the seven floor of Haven Hall.
The CAP lab contains computers loaded with a variety of software used most often in our program. Graduate students can access the lab by swiping their M-Card. The University of Michigan supports Linux, Microsoft Windows, and Mac OS X operating systems.

Office Space
As space permits, students are allocated shared office space. Students who are writing their dissertations may also inquire at the circulation desk of the Harlan Hatcher Graduate Library for a locked study carrel. Carrels are located on the third through sixth floors of the graduate library. Carrels may be shared by up to three students.

Student Files
Student academic files are maintained in the Office of the Graduate Program Coordinator. Students are free to review their file. The academic file contains reports from all annual and preliminary exam assessments.

Counseling System

Director of Graduate Studies
The director of graduate studies is available to students to provide advice and answer questions about the program.

Initial Faculty Mentor
The Director of Graduate Studies will assign each new student to an initial faculty member according to the student’s research interests. The faculty mentor can give advice about course selection, professional development, and resources on campus. Students are free to change their faculty mentor at any time, but should let the graduate coordinator know of the change.

Ordinarily the faculty mentor will chair the student’s first and second year assessments until the student chooses a dissertation advisor. Students should meet with their faculty mentor at least once per term to discuss their academic development.

Dissertation Committee
As the student progresses through the program and focuses individual research interests, the student will compose a dissertation committee. The dissertation advisor will assume the role of the student’s primary mentor, but the student will find that periodic contact with other dissertation committee members will be useful.

Discrimination and Harassment Counseling
The Department of Political Science is committed to a climate of mutual respect. If any student has experienced discrimination or harassment in any form, there are resources available within the department as well as in multiple venues across campus. Students should feel free to contact the Faculty Allies for Diversity for advice and further resources.

**Rackham Resources**
The Rackham Graduate School offers a variety of resources to help students with the academic as well as non-academic aspects of being a graduate student. Resources include how to build an effective mentoring relationship with your advisor; dispute resolution; advice for those who have experienced harassment or discrimination; new parent accommodation; and health and wellness counseling. See the Rackham website for more information.

**Diversity, Equity, and Inclusion**
The University of Michigan supports its educational mission by fostering a community based on civility, dignity, diversity, inclusivity, education, freedom, honesty, and safety. Questions or concerns about diversity, equity, and inclusion within the department can be communicated directly in oral or written form to the Political Science faculty member(s) serving as our department’s Rackham Faculty Diversity Ally (Ted Brader, tbrader@umich.edu).

Students may also seek confidential consultation with the following resources beyond the department:

- **Rackham Resolution Officer**
  The Rackham Resolution Officer (currently Darlene Ray-Johnson) provides information about Rackham Graduate School and University policies and procedures, makes referrals, and offers resources when appropriate. She also provides a safe and confidential environment to discuss a wide range of academic and personal concerns, and advises students on matters related to emergencies, crisis situations, disputes, and student conduct violations. Graduate students can email RackResolutionOfficer@umich.edu or call (734) 764-4400 to make an appointment with Darlene Ray-Johnson at Rackham (Room 1100).

- **Student Conflict Resolution**
  The Office of Student Conflict Resolution (OSCR) offers a spectrum of conflict resolution pathways that are educationally focused, student-driven, community owned, and restorative in nature, which are adaptable to meet the needs of individuals experiencing conflict. OSCR is located in the Student Activities Building (Suite 100).

- **Office of the Ombuds**
  The University Ombuds office is a safe place where student questions, complaints, and concerns about the functioning of the University can be discussed confidentially. Rather than being an advocate for either side in a dispute, the Office of the Ombuds serves as an impartial advocate for fair and consistent treatment. Through informal dispute
resolution services, resources, referrals, and discussion of available options, the work of the Ombuds office may supplement conflict resolution processes within the department. Students can call (734) 763-3545 or go to the Ombuds Office at 6015 Fleming Building.

Students may also wish to report concerns using Campus Climate Support. The Campus Climate Support (CCS) staff is committed to providing support for those who may have been targets of or affected by campus climate concerns. CCS works to ensure that appropriate University resources and expertise are made available to anyone who feels they have been harmed or negatively impacted. Anyone who feels they have been affected by a campus climate concern is encouraged to make a report to CCS, so the University can offer assistance. CCS is not a disciplinary body, cannot impose discipline, and does not require participation in any aspect of CCS’s work. CCS’s purpose is to support students, faculty or staff connect to resources. The hope is that over time these efforts contribute to the maintenance of respect and understanding among members of the University community.

**Sexual & Gender Based Misconduct & Other Forms of Interpersonal Violence**
The University is committed to providing a safe and non-discriminatory learning, living and working environment for all members of the university community, and does not discriminate on the basis of sex or gender in any of its education or employment programs or activities. The University has established Policy & Procedures on Student Sexual & Gender Based Misconduct & Other Forms of Interpersonal Violence. Persons connected with the University who believe they have experienced discrimination, harassment, or retaliation may bring their concerns directly to the Office of Institutional Equity (OIE). Please note, there is a distinction between making a report to OIE and seeking confidential assistance through confidential resources. Staff and faculty in administrative roles (in the Department of Political Science, this includes the Chief Administrator, Department Chair, Director of Graduate Studies, and Director of Undergraduate Studies) are designated “responsible employees” and therefore required to report concerns about possible misconduct to OIE. Resources available to students for confidential consultation (without reporting to OIE) include the Rackham Resolution Officer, the Sexual Assault Prevention and Awareness Center (SAPAC), Counseling and Psychological Services (CAPS), and the University Ombuds.

**Job Placement Resources**
Each year a faculty member serves as the Director of Placement. The director of placement advises students on all aspects of the job market, including timing, preparation of the curriculum vitae, the cover letter, packet materials, and where to apply. Once a student is offered a job talk, the placement director can complement the dissertation advisor to give advice about the job talk. Students should contact the placement director in the winter or spring before they plan to go on the market.
The department also offers administrative support to students. A staff member will provide the student the information for creating an Interfolio account. The student will then be able to organize all of their letters and information in one place to send out to prospective jobs.

Our program is designed to prepare students for a career as an academic political scientist, and most of our students pursue an academic position. However, the training that we offer prepares students for a variety of positions demanding well-developed analytical skills. Recent graduates have obtained positions at think tanks including the Rand Corporation and Brookings, as well as consulting firms.

International Student Resources
The University of Michigan’s International Center is dedicated to assisting international students with their transition to Michigan as well as aiding them while they complete their studies here. Visit their website at http://www.internationalcenter.umich.edu for more information.

10. Workshops and Student Organizations
Research Workshops
The department sponsors a number of formal workshops, and students often organize additional informal workshops. Some of the related workshops are:

Interdisciplinary Workshop on Comparative Politics (IWCP): This workshop consists of both student presentations and external speakers focusing on comparative politics broadly defined. IWCP is a Rackham Interdisciplinary Workshop that provides a platform for sharing and improving research projects that use a comparative approach to study the causes and effects of political and economic processes. It welcomes a wide range of approaches and topics, and emphasize works in progress. The goal is to constructively critique and improve the research of graduate students, and to also provide an opportunity to present, discuss, and meet prominent speakers. For the student sessions, participants read the presenter's paper/chapter/prospectus/memo ahead of time, and then a graduate student and a faculty member each comment on the paper. For the external speakers, after the speaker's presentation and a broad discussion, the graduates students take out the speaker for lunch. IWCP usually meets in the Pre-Function Room on the 5th floor of Haven Hall on Fridays from 1:00-2:30. The default presentation format is to have 15 minute presentations followed by comments by two discussants and then comments from the audience. The Call for Presenters is for graduate students, post-doctoral students, and faculty from all disciplines. Contact the organizers to request a slot as a presenter. Requests that cannot be accommodated this semester will be
given preference for slots in the Winter semester. For more information, please contact the faculty coordinators (Allen Hicken (ahicken@umich.edu) and Brian Min (brianmin@umich.edu)).

**Interdisciplinary Seminar in Quantitative Methods (ISQM):** The goal of the Interdisciplinary Seminar in Quantitative Methods is to provide an interdisciplinary environment where researchers can present and discuss cutting-edge research in quantitative methodology. The talks will be aimed at a broad audience, with more emphasis on conceptual than technical issues. The research presented will be varied, ranging from new methodological developments to applied empirical papers that use methodology in an innovative way. We welcome speakers and audiences from all subfields in the social, natural, and behavioral sciences. To be added to the ISQM email list please contact us at isqm-subscribe@umich.edu. For additional information, including the complete list of speakers, go to [http://www.isr.umich.edu/cps/events/isqm/](http://www.isr.umich.edu/cps/events/isqm/).

**Interdisciplinary Workshop on American Politics (IWAP):** IWAP consists of both student presentations and speakers focusing broadly on American Politics. This may take the format of discussing a student paper, work-in-progress, or idea or may involve guest speakers. You can contact the IWAP coordinators at iwap.coordinators@umich.edu.

**Political Economy Workshop:** The Political Economy Workshop (PEW) is a weekly interdisciplinary research workshop and forum for discussion on research at the intersection of political science, economics and the other social sciences. PEW provides a space for presentation of work by doctoral students, faculty and outside speakers who study topics relevant to political science and economics. More details about the presentation schedule and contact information is available in the workshop's website, at [https://sites.google.com/a/umich.edu/political-economy-group/](https://sites.google.com/a/umich.edu/political-economy-group/).

**Political Theory Workshop:** Political Theory Workshop will focus on any writing/research in the area of political theory (very broadly conceived, to include among other things, public law). The Political Theory Workshop typically meets to discuss pre-circulated papers (dissertation chapters, article drafts, conference presentations, etc.). The workshop opens with prepared comments from a designated discussant, followed by open conversation. Both faculty and students are welcome to present their work (if scheduling conflicts arise, priority is given to students). If you are interested in being added to the workshop email list, please contact Lisa Disch (Idisch@umich.edu).

**Conflict & Peace, Research & Development Workshop:** The Conflict & Peace, Research & Development (C&P, R&D or CPRD) group comprises individuals and activities broadly concerned with political conflict (e.g., genocide, civil war, human rights violation, terrorism, protest, torture, domestic spying and everyday resistance)
and peace (e.g., community building, inter-group relations and negotiation). The range of topics is purposefully conceived in as encompassing a manner as possible. This is a Rackham Interdisciplinary Workshop. More information is available in the workshop's website: http://cprd.weebly.com/

Other opportunities exist. Students are encouraged to attend at least one workshop regularly.

**Graduate Student Organizations**

**Graduate Association of Political Scientists (GAPS)**
The Graduate Association of Political Scientists (GAPS) advances the professional and social needs of the community of graduate students within the political science department. Its primary goals are to foster relationships among graduate students, faculty and staff and to provide an avenue through which the diverse needs of graduate students can be met. GAPS works with other student organizations to promote a department environment that encourages both a strong sense of community and, ultimately, professional success. Email: gapspresidents@umich.edu

**Professional Development Committee**
The Professional Development Committee is appointed by GAPS to organize workshops and other activities conducive to the professional development of political science students. The committee usually organizes several workshops annually. Programming often targets specific groups, such as through a dissertation prospectus workshop, making the most of subfield work, or how to spend the summer. At least once per year the committee hosts subfield lunches.

**Political Scientists of Color (PSOC)**
The purpose of Political Scientists of Color (PSOC) is to provide a network of political scientists – students and alumni – interested in creating and maintaining a supportive academic and professional environment in the University of Michigan regardless of race or ethnic background. PSOC also seeks to foster a sense of community among political science graduate students of color and to advocate for their concerns and interests to the Department of Political Science and the graduate student community at large. Email: psoc-exec@umich.edu

**Women’s Caucus**
The Political Science Women’s Caucus is an important component of the political science graduate student community. The leadership of the Women’s Caucus is looking forward to providing a social and intellectual space for graduate students. Our primary goals are to engage with departmental gender and climate issues and to provide a forum for students and faculty to discuss new research related to gender issues. Email: polsciwomenscaucus@umich.edu
Community of Queer Political Scientists (CQPS)
CQPS is a newly formed group that meets to discuss various issues and to sponsor professional development. Each year, outside speakers are brought in to give talks and to meet with CQPS students about being LGBTQ in the discipline. Email: Mike Thompson-Brusstar
mrthomp@umich.edu

Graduate Employees Organization (GEO)
Graduate student instructors and graduate student staff assistants are collectively represented by a labor union, the Graduate Employees Organization, or GEO. The GEO negotiates all GSI and GSSA contracts with the university. See www.umgeo.org for more information.

11. History of Revisions

This guide was significantly reorganized in July 2011 by Jenna Bednar. The content is consistent with the guide last revised in 2008 except that the current guide is updated to reflect Rackham’s policy of continuous enrollment, to incorporate the preliminary exam requirements by subfield, and to make clear the master’s degree requirements.

July 2012 (Bednar): minor language adjustments and clarification that new admissions with financial aid offers occur on-cycle only.

May 2013 (Bednar): (1) Reduced the departmental subfield coursework expectations: we recommend a minimum of four courses in the major and three courses in the first minor, down one course each from the previous recommendations. Subfields retain the right to require more courses in preparation for the preliminary exam. (2) Clarification of the student-designed first and second minor. (3) Added description of the joint, SIDP, and dual degree programs. (4) Clarification of the cognate and second minor course distribution swap.

July 2014 (Valentino): Changed the description of the Comparative prelim to reflect the new guidelines. Updated requirements for JD/MA in World Politics.

July 2015 (Disch): (1) Clarification of reinstatement process, adding initial recommendation from DGS prior to application with Rackham; (2) Methods subfield qualifying criteria updated to reflect new guidelines; (3) added description of guided Modes of Inquiry minor; (4) added Ten Term Rule to Rackham and University Policies.

July 2016: Major language changes throughout to reflect restructuring of the Graduate Program to eliminate the second minor requirement.
August 2017 (Disch): (1) Change to policy regarding penalty for failing to achieve candidacy to reflect GAC consensus that students should lose only their Fall Term funding; clarification of process for restoring funding and/or being dismissed from the program; (2) American Politics subfield preliminary exam criteria updated to reflect new curriculum and guidelines; (3) clarification of July 1 deadline for achieving candidacy.

August 2018: Updated new administration (GAC membership); updates to Theory pre-lims; clarification that prospectus defense requires a minimum of 3 out of 4 members of dissertation committee; non UM faculty members are considered “special member” and require a CV and memo. Banking 4 or 8 credits, as opposed to one or two classes. Leave of Absence, student must work with DGS/GC to submit re-entry plan.

August 2019 (Mickey): updated probation and dismissal policy; updated theory and American major prelim details; included leave of absence policy.

August 2020: Updated committees, added the DEI to the resources section of the document, added subfield coordinators email contacts to committees, removed section about adding LOA-section was added but this was not removed, updated email addresses, edited the Job Placement section to new process (meg).